		December-16			
Optum - I	Ве	havioral Network Services			
SUPERVI	SO	PRY PROTOCOL - MN			
Clinic Name:					
City / State:					
Audit Date:					
Auditor:					
		Rating Scale: Y = Yes N = No	Υ	N	N/A
	1	The Clinical Supervisor(s) is/are an Optum/UBH credentialed clinician.			
Comments:					
	2	There is a written policy addressing the supervisory protocol utilized at the clinic.			
Comments:					
	3	The patient's diagnostic assessment, treatment plan, and changes in diagnosis are reviewed and signed by the clinical supervisor.			
Comments:					
	4	The Clinical Supervisor reviews and signs the patient's record of care at least every 30 days.			
Comments:					
	5	Verification of the Supervisee's training and education is documented and available for review.			
Comments:					
	6	There is documentation that the Supervisor and Supervisee meet regularly on a one to one basis for supervision.			
Comments:					
	7	The Supervisor's education level meets the state's clinical supervisory requirements or the Supervisor's education level is consistent with the supervisee's.			
Comments:					

	8	Patients are informed that a clinician who is not credentialed by Optum/UBH is providing treatment to them.			
Comments:					
	9	Patients sign a consent form indicating they consent to treatment with clinician not credentialed by Optum/UBH. A copy of the consent is in their record			
Comments:					
	10	Clinical supervisor is an employee of the Group who provides mental health and/or substance abuse disorder services, and who's training and education is appropriate to the supervision they are providing.			
Comments:					